Introduction to China’s HTRDP ("863") Machine Translation Evaluation

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Outline

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- Origination and History
- Organizer
- Time cycle
- Evaluation Tracks
- Participants
- Evaluation Metrics
- Data
- Results
- Comparison with NIST MT evaluation
- Conclusion and future work
Preface

- Evaluation is recognized as an important drive for machine translation research.

- Other MT Evaluations
  - NIST (Supported by DARPA Tides Project)
  - IWSLT (Organized by CSTAR)
  - TC-STAR (Organized by EU’s TC-STAR Project)

- China’s HTRDP MT Evaluation
  - Supported by China’s HTRDP (“863” Programme)
Origination

- **HTRDP:**
  - China’s national High-Tech Research and Development Programme

- **“863” Programme:** another name of HTRDP
  - In 1986, four famous Chinese scientists submitted a proposal to Chinese government for founding a high technology research and development programme
  - China’s previous leader Deng Xiaoping approved this suggestion in March of 1986
  - The nick name “863” Programme is to commemorate the month when Deng Xiaoping approved the proposal
HTRDP Evaluation

- An abbreviation of “the HTRDP Evaluation on Chinese Information Processing and Intelligent Human-Machine Interface Technology”
- Also called “863” Evaluation
- It is a series of evaluation activities which is sponsored by HTRDP on the research area of natural language processing and human-machine interaction
- Seven HTRDP evaluations had been conducted from 1991 to 2004.
History

1990: preparative evaluation
1991: 1st
1992: 2nd
1994: 3rd
1995: 4th
1998: 5th
2003: 6th
2004: 7th
2005: 8th
Technologies covered by HTRDP Evaluation

- Machine translation (MT)
- Automatic speech recognition (ASR)
- Speech to text (TTS)
- Chinese character recognition (CR)
- Information retrieval (IR)
- Chinese word segmentation (CWS, includes part of speech tagging and named entity recognition)
- Text classification (TC)
- Text summarization (TS)
- Human face detection and recognition (FR)
## Technologies covered by HTRDP Evaluation

<table>
<thead>
<tr>
<th></th>
<th>1&lt;sup&gt;st&lt;/sup&gt;</th>
<th>2&lt;sup&gt;nd&lt;/sup&gt;</th>
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<td>●</td>
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</tr>
</tbody>
</table>
Organizer

- HTRDP evaluation is organized by Institute of Computing Technology (ICT), Chinese Academy of Sciences.
- Since 2004, ICT started its cooperation with the National Institute of Information and Communications Technology (NICT) of Japan on the organization on HTRDP MT evaluation.
Time cycle

- The evaluation time cycle is a calendar year, normally:
  - Guidelines Releasing: in spring
  - Result Submission: in autumn
  - Workshop: in winter
- Time Table of 2005 HTRDP Evaluation:
  - March-April: Discussion of the guidelines
  - April 29: Release of the evaluation guidelines
  - July 29: Deadline of registration
  - August 1: Releasing the training data
  - August 22: Releasing the development data
  - September 20: Releasing the test data
  - September 22: Deadline of result submission
  - October 21: Notification of evaluation results
  - November 28: Evaluation workshop
## Evaluation Tracks (1)

<table>
<thead>
<tr>
<th>Track</th>
<th>Direction</th>
<th>Type</th>
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<tbody>
<tr>
<td>CEMT</td>
<td>Chinese→English</td>
<td>Machine Translation</td>
</tr>
<tr>
<td>ECMT</td>
<td>English→Chinese</td>
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<tr>
<td>CJMT</td>
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<tr>
<td>JCMT</td>
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<tr>
<td>CFMT</td>
<td>Chinese→French</td>
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</tr>
<tr>
<td>CEWA</td>
<td>Chinese↔English</td>
<td>Word Alignment</td>
</tr>
</tbody>
</table>

### Definition of evaluation tracks

- **CEMT**: Chinese→English
- **ECMT**: English→Chinese
- **CJMT**: Chinese→Japanese
- **JCMT**: Japanese→Chinese
- **JEMT**: Japanese→English
- **EJMT**: English→Japanese
- **CFMT**: Chinese→French
- **CEWA**: Chinese↔English
## Evaluation Tracks (2)

<table>
<thead>
<tr>
<th>Year</th>
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<th>4th</th>
<th>5th</th>
<th>6th</th>
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<td>●</td>
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<tr>
<td>2004</td>
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<td>●</td>
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<td>●</td>
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</tr>
<tr>
<td>2005</td>
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<td>●</td>
<td>●</td>
<td>●</td>
<td>●</td>
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</tr>
</tbody>
</table>

- **CEMT**
- **ECMT**
- **CJMT**
- **JCMT**
- **EJMT**
- **JEMT**
- **CFMT**
- **CEWA**
Participants

- Beijing University of Technology
- CCID Cooperation
- Futsuji Cooperation (Japan)
- Huajian Cooperation
- Harbin Institute of Technology
- Institute of Automation, Chinese Academy of Sciences
- Institute of Computing Technology, Chinese Academy of Sciences
- Kodensha Cooperation (Japan)
- Multran Cooperation
- National University of Defense Technology
- Nanjing University
- Sharp Cooperation (Japan)
- Transtar Cooperation
- Xiamen University
Evaluation Metrics

- Human Evaluations
  - Intelligible measurement (before 2004)
  - Adequacy and Fluency (2005)

- Automatic Evaluations
  - N-gram Metrics and Edit distance Metrics (2003~2005)
  - Entropy Metric (2005)
Human Evaluation

- Four human experts are invited to evaluate the results.
- Each expert is asked to evaluate all the translations, using a score ranged from 0 to 10, with at most one decimal.
- For human experts, the results of the same source sentences are evaluated in the same time, however, for different source sentences, the order of the results of are given randomly.
## Guidelines of Intelligible measurement (used before 2004)

<table>
<thead>
<tr>
<th>Score</th>
<th>Description</th>
<th>Intelligibility</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>The translation is completely unintelligible.</td>
<td>0%</td>
</tr>
<tr>
<td>1</td>
<td>Readers cannot understand what the translation wants to express. But some phrases are properly translated</td>
<td>20%</td>
</tr>
<tr>
<td>2</td>
<td>Parts of the source text are properly translated. Keywords are properly translated.</td>
<td>40%</td>
</tr>
<tr>
<td>3</td>
<td>The translation conveys the meaning of the source text fairly well. You can guess the meaning of source text from the translation. There are some errors.</td>
<td>60%</td>
</tr>
<tr>
<td>4</td>
<td>The translation conveys the meaning of the source text quite well. You can figure out the meaning of source text from the translation. There are several errors.</td>
<td>80%</td>
</tr>
<tr>
<td>5</td>
<td>The translation exactly conveys the meaning of the source text. The structure of sentence is properly chosen. There are only one or two trivial errors.</td>
<td>100%</td>
</tr>
</tbody>
</table>
Test Point Method (1)

- A automatic MT evaluation system MTE-94 was developed based on this method
Test Point Method (2)

• Professor YU Shiwen was in charge of the 1994, 1995 and 1998 HTRDP machine translation evaluation.

• His later publications introduced the experiments of MTE-94 on the 1995 and 1998 HTRDP MT evaluation.

• Unfortunately, Prof. Yu did not give the real evaluation results in his publications and in official report of HTRDP MT evaluation.
Test Point Method (3)

- In test point method, detailed guidelines were given before the evaluation, which described all the test points for each MT direction.
- Some test points in Chinese-English machine translation:
  - Chinese word segmentation
  - Combinational disambiguation (马上 or 马 / 上？)
  - Overlapping disambiguation (的 / 确切 or 的确 / 切？)
  - Chinese POS tagging
    - N-V disambiguation (工作 work n. or v. ?)
    - N-Q disambiguation (头 “head” or a quantifier?)
    - ...

Test Point Method (4)

- (cont.):
  - Chinese parsing
    - N-N structural disambiguation (a modificative NP such as 木头椅子, a coordinative NP such as 苹果香蕉, or a subject-predicate clause such as 老王山东人)
  - ......
  - Chinese word sense disambiguation ......
  - Syntax structure transfer ......
  - English structure generation ...... (e.g. position of aux. v.)
  - English word generation ...... (e.g. form of irregular v.)
Test Point Method (5)

- Hundreds of test points were given by linguistics in the guidelines of each translation direction.
- For each direction, a set of test sentences is given.
- Each test sentence can be used to test more than one test point.
- For each test sentence, simple substring matching is used to determine if the specific test point has been correctly processed, e.g. for the Chinese sentence, 我马上回来, if the word “immediately” or “as soon as possible” occurs in the English translation, the test point “马上” is regarded to be correctly processed.
- More than 3300 sentences is collected in MTE-94.
Test Point Method (6)

- One of the earliest automatic MT evaluation
- Similar to the human’s standard test, such as TOFEL
- The idea is quite clever, however, the problem is, it is hard to define the test points and to construct the test set.
N-Gram Metrics and Edit Distance Metrics (1)


- Several metrics:
  - BLEU
  - NIST
  - GTM
  - mWER
  - mPER
N-Gram Metrics and Edit distance Metrics (2)

- A problem in using n-gram method to evaluation Chinese and Japanese translations: The n-gram cannot be clearly defined because of word segmentation ambiguities in Chinese and Japanese.

- Solution: character-based n-gram is used instead of word-based n-gram
Entropy Metric (1)

- A new method proposed by our group, which will be used in 2005 HTRDP MT evaluation
- Basic idea:
  - The MT system translation is firstly compared against the reference translations. Some continuous word (or character) sequences are matched.
  - So the translation sentence is segmented into some pieces, where each piece is either a sequence of matched words (or characters), or an unmatched word (or character).
  - We assume that the more distributive the sentence is segmented, the poor the translation quality is. Thus we use a “distribution score” to evaluate the translation quality.
  - The distribution score can be well defined by the entropy, so we use the entropy to measure the translation quality.
  - Besides, some other factors, such as matching weight and length penalty, should also be taken into consideration.
Entropy Metric (2)

- Example
  - A MT system translation with 15 words
  - Matching all substrings in the translation against the reference translations, we get the above segmentations
  - The sizes of segmentations are: 3+1+4+1+1+5
  - The entropy of this segmentations is (without matching weight):
    \[ H = \sum -p \log_2 p \]
  - However, matched segmentations and unmatched segmentations should have different matching weight.
  - Considering the weights, we will get a weighted entropy.
  - The score of the translation is defined based on the weighted entropy, where length penalty is also considered.
Entropy Metric (3)

- In the n-gram metrics, it is quite subjective or experiential to determine the order of n-gram.
- Specifically, when we used character-based n-gram method to evaluate Chinese or Japanese translations, should we use a higher order of n-gram? Why? Which?
- Advantage: we do not need to select the order of n-gram in entropy method.
- In our experiments, entropy metric correlate with human evaluation quite well.
- More details will be described in a future paper.
Evaluation of Word Alignment

- The metrics include: Precision, Recall, F1-measure and Error Rate
- In the gold alignments, there are two kinds of alignment links: sure links and possible links.
Test Data (1)

  - The test sentences are selected by linguistics
  - Most of the sentences are short sentences covering specific test points, somewhat like sample sentences in grammar books
Test Data (2)

  - The test data are mainly collected from real language
  - Both dialog data and text data are collected
  - Size: about 700-1000 sentences in each track
  - Domain:

<table>
<thead>
<tr>
<th>Year</th>
<th>Dialog</th>
<th>Text</th>
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<tbody>
<tr>
<td>2003</td>
<td>Olympic</td>
<td></td>
</tr>
<tr>
<td>2004</td>
<td>Olympic and general</td>
<td>General</td>
</tr>
<tr>
<td>2005</td>
<td>Olympic</td>
<td>General</td>
</tr>
</tbody>
</table>

- Where Olympic-related domain covers: weather, sports, travel, traffic, hotel, restaurant, and etc.
Test Data (3)

- Four reference translations are given to each test sentences
- All the reference translations are made by the native speakers of target language who are familiar with the source language
- The reference translations of C->J, E->J and J->E tracks are provided by our Japanese collaborator NICT
Test Data (4)

- For word alignment track, two people are asked to make the word alignment manually, according to a specification.
- The word links labeled by both labeler are regarded as sure links
- The links labeled by only one labeler are regarded as possible links
Training Data

- No training data were provided before 2004
- Training data are provided for only E->C and C->E tracks in HTRDP MT evaluation 2005
- Amount: 870,000 sentence pairs, which have been examined manually
- Up to now, no limit is made to the participants on the training data they can use. The participants can use any data to training their systems
- However, in the workshop, participants are asked to give a description to all the data used to training their systems.
Development data

- No development data were provided before 2004
- Development data are provided for all tracks in 2005 evaluation
- For existing tracks before 2004, development data are just the test data and reference data used in 2003 and 2004 evaluations
- For new tracks (EJMT, JEMT and WACE), development data are newly created
Data Availability

- All the data are provided to participants freely, with a limited usage license agreement.
- Others can purchase the research usage license of these data through ChineseLDC after the evaluation.
Call for Participation in the 2005 HTRDP Evaluation on Chinese Information Processing and Intelligent Human-Machine Interface Technology

Date: September 20-22, 2005
Tasks: Machine Translation, Information Retrieval, Speech Recognition
Website: http://www.863data.org.cn/english or http://159.226.39.41/english

Sponsor:
National High Technology Research and Development Program of China (863 Program)

Organizer:
Institute of Computing Technology, Chinese Academy of Sciences

Co-organizers:
Institute of Software, Chinese Academy of Sciences
National Institute of Information and Communications Technology (NICT), Japan

Cooperators:
Keihanna Human Info-Communication Research Center of National Institute of information and Communications Technology (KICR, NICT), Japan
中文语言资源联盟，英文译名Chinese Linguistic Data Consortium，缩写为ChineseLDC。ChineseLDC是由中国中文信息学会语言资源建设和管理工作委员会发起，由中文语言（包括文本、语音、文字等）资源建设和管理领域的科技工作者自愿组成的服务性、公益性、非盈利性的社会团体，是推动中文语言资源建设并为中文语言信息处理提供资源支撑的重要社会力量。ChineseLDC的宗旨是团结中文语言资源建设领域的广大科技工作者，代表中文信息处理国际水平的、通用的汉语语言语音资源库。为汉语语言信息处理基础研究和应用开发提供支持，促进汉语语言信息处理技术的不断进步。ChineseLDC由中国高等院校文理学科研究发展项目(863)“中文平台总体技术研究与基础知识库建设”(2001AA11401)、“中文平台评价技术研究与基础数据库建设”(2004AA114010) 和国家重点基础研究发展规划项目（973）“语言、语音、自然语言理解与知识发现”（G19980305）等项目启动。团体隶属于中国中文信息学会，接受中国中文信息学会语言资源建设和管理工作委员会的业务指导和监督管理。

ChineseLDC的目的是建成能代表当今中文信息处理国际水平的、通用的汉语语言信息与知识库。ChineseLDC建设和收集最具有完整性和权威性、系统性的开放式中文语言资源，涵盖中
## Results: 2003 Dialog C→E

<table>
<thead>
<tr>
<th>System</th>
<th>BLEU</th>
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## Result: 2003 Text C→E

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## Results: 2004 Dialog C→E

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<tbody>
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<td>NIST</td>
<td>BLEU</td>
<td>GTM</td>
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<td>0.5481</td>
</tr>
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<td>0.2094</td>
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<td>0.1009</td>
<td>0.5245</td>
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<td>System9</td>
<td>5.4762</td>
<td>0.1540</td>
<td>0.5978</td>
</tr>
<tr>
<td>System10</td>
<td>5.7492</td>
<td>0.1697</td>
<td>0.6285</td>
</tr>
</tbody>
</table>
## Results: 2004 Text C→E

<table>
<thead>
<tr>
<th>ID</th>
<th>Automatic</th>
<th>Human</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>NIST</td>
<td>BLEU</td>
</tr>
<tr>
<td>System1</td>
<td>5.6075</td>
<td>0.1201</td>
</tr>
<tr>
<td>System4</td>
<td>4.2326</td>
<td>0.0807</td>
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<tr>
<td>System6</td>
<td>5.6274</td>
<td>0.1217</td>
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<tr>
<td>System7</td>
<td>3.8949</td>
<td>0.0573</td>
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<td>System9</td>
<td>5.0503</td>
<td>0.0790</td>
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<tr>
<td>System10</td>
<td>5.0898</td>
<td>0.0912</td>
</tr>
</tbody>
</table>
MT evaluation: HTRDP vs. NIST

- HTRDP focus mainly on translations to and from Chinese and Japanese, while NIST focus on translations to English. There are much more translation directions in HTRDP than those in NIST.
- New evaluation metric (entropy) will be used in HTRDP.
- The domain and genre of HTRDP test data is quite different from NIST test data.
- In our unofficial experiments, for some MT system, the HTRDP 2003 BLEU score is much lower than NIST 2005 BLEU score (about 0.06-0.10). Maybe it is because the diversity of the HTRDP test data.
Conclusion

- HTRDP ("863") MT evaluation is the official MT evaluation in China.
- Almost all the machine translation research institutes and corporations in China mainland are involved, and some participants are from overseas.
- Besides the translation evaluation between Chinese, English, Japanese and French, a new word alignment track is added in 2005 evaluation.
- Large training data and development data are provided to the participants freely from this year.
Future work

- In recently years, we will hold MT evaluation annually
- Provide more training data
- Research on better evaluation metrics

Participants from all over the world are welcome to HTRDP MT evaluation.
Thanks